

Apiax Embedded Compliance

Removing regulatory barriers to global business

AT A GLANCE

Key challenge:

With ever-increasing complex global regulations, how can financial services organisations:

- Keep compliant in real-time with continually changing rules and regulations?
- Easily understand allowed parameters for key business issues?
- Innovate and extend services coverage?
- Differentiate from their competitors?
- Protect and improve reputational image?

Solution:

Apiax provides financial institutions with immediate, reliable answers to their most pressing regulatory questions – embedded into their existing systems, tools and processes. We partner with the world's most trusted law firms. Apiax allows financial service providers to do more business across more jurisdictions while reducing costs and mitigating risk.

"Using Apiax from the start of our company has given us clear guidance on the most pressing regulatory issues way faster than we could have ever imagined. We wouldn't be where we are today without Apiax"

CEO of Swiss merchant bank

The market leader in embedded compliance

Apiax is the leader with the industry's most universal suite of regulatory technology solutions. We provide regulatory answers for the complex questions in wealth management, asset management, retail banking, investment banking, and FinTech.

Apiax helps business leaders in the financial industry understand the do's and don'ts in day-to-day activities such as preparing client meetings, giving investment advice, onboarding clients, keeping marketing activities compliant, conducting pre-trade compliance checks, and much more.

Our embedded compliance solution transforms regulations into immediate answers, and our customers have increased the number of clients they serve and the number of geographies they do business in, reduced their time-to-market, and improved their reputation when working with Apiax.



Delivers trusted regulatory answers

CONTENT AGNOSTIC

Choose your preferred content provider, explore avenues with alternative providers.

VERIFIED CONTENT

Reviewed and approved. Consume verified content from the world's leading law firms.

UP-TO-DATE CONTENT

Always up-to-date. Our repository of regulatory knowledge reflects all the latest regulatory changes and is regularly maintained and improved.

RULE DIGITISATION

Access all the tools you need to transform your own expertise and adapt it to your risk appetite.

Covers all of your regulatory questions

INDUSTRIES

Answers for the main issues in wealth management, asset management, retail banking, investment banking, and FinTech.

REGULATORY TOPICS

Covers the main regulatory questions in cross-border compliance, data protection, tax, and AML.

USE CASES

Empowers day-to-day activities such as client meeting preparation, fund distribution, investment suitability checks, and many more.

GLOBAL COVERAGE

Regulatory answers for the most pressing regulatory compliance issues in more than 150 jurisdictions, and growing.

"We went from endless hours spent on compliance checks to zero with Apiax. It is incredible to see the scalability it brings to our business. Relationship managers become our compliance gatekeepers."

Head of Asset Management of Tier 1 bank

Provides undisputed compliance knowledge

UNIFIED

Equip stakeholders with access to the one and only regulatory compliance answers repository to avoid ambiguity and boost their productivity.

SCALABLE

Unlock the rules for new countries and new use cases at the click of a button. Dramatically shorten go-to-market time.

DEPENDABLE

Clear do's and don'ts increase certainty and help minimise regulatory, reputational and career risks.

TRACEABLE

Track rule changes to ensure the regulatory answers are in line with the company's policies and avoid risks by conducting internal audits of staff activities.

Embeds compliance right where it is needed

FULLY EMBEDDED

Our range of regulatory APIs allows us to integrate compliance checks into the existing technology stack of financial institutions.

COMPLIANCE BY DESIGN

Fully embedded compliance checks empower compliance by design – reducing misinterpretation, errors and misconduct.

NO-INTEGRATION OPTIONS

Options for no-integration regulatory apps which offer easy accessibility of regulatory answers "on the go".

EXPERTISE

Apiax offers unrivalled experience in mapping business challenges to regulatory answers and embedding those answers into clients' processes, systems and teams.